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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM SD

**Specialized Disclosure Report** 

# Stereotaxis, Inc.

Delaware	001-36159	94-3120386
(State or other jurisdiction of	(Commission	(IRS Employer
incorporation or organization)	File Number)	Identification No.)
710 N. Tucker Blvd, Suite 110; St. Loui	s, MO	63101
(Address of principal executive offic	es)	(Zip Code)

(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

 $\boxtimes$  Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2023.  $\square$  Rule 13q-1 under the Securities Exchange Act (17 CFR 240.13q-1) for the fiscal year ended December 31, 2023.

## Section 1 - Conflict Minerals Disclosure

## **Item 1.01 Conflict Minerals Disclosure and Report**

This Specialized Disclosure Report on Form SD ("Form SD") of Stereotaxis, Inc. ("Stereotaxis", the "Company" or "we") for the reporting period from January 1 to December 31, 2023, is filed pursuant to Rule 13p-1 under the Securities Exchange Act of 1934 (the "Rule"). Capitalized terms not otherwise defined herein are defined in the Rule.

Stereotaxis evaluated its products and determined that our products contain "necessary conflict minerals." This means that Conflict Minerals as defined in the Rule are present in products we manufacture or contract to manufacture and are used to achieve the required function, use or purpose of those products. Our determination and related disclosures relating to such necessary conflict minerals are included in Stereotaxis' Conflict Minerals Report and are incorporated by reference herein.

## Item 1.02 Exhibit

The Company has filed a Conflict Minerals Report as Exhibit 1.01 to this Form SD. Stereotaxis' Conflict Minerals Report is available at http:// www.stereotaxis.com/legal/. The website and the information accessible through it are not incorporated into this Form SD.

Section 2 - Exhibits

## Item 2.01 Exhibits

Exhibit 1.01 Stereotaxis, Inc. Conflict Minerals Report for the reporting period January 1 to December 31, 2023

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

STEREOTAXIS, INC.

(Registrant)

By: /s/ Laura Spencer Garth

Name: Laura Spencer Garth

Title: General Counsel & Corporate Secretary

May 30, 2024 (Date)

#### Exhibit 1.01

## Stereotaxis, Inc. Conflict Minerals Report For The Year Ended December 31, 2023

## Introduction

This Conflict Minerals Report for Stereotaxis, Inc. ("Stereotaxis", "we," "us," and "our") is for the period from January 1 to December 31, 2023 and is presented to comply with Rule 13p-1 under the Securities Exchange Act of 1934 ("Rule"). Terms used in this report are defined in the Rule and Form SD. Refer to those sources and to SEC Release No. 34-67716 issued by the Securities and Exchange Commission on August 22, 2012 for such definitions.

Stereotaxis designs, manufactures and markets robotic systems, instruments and information systems for the interventional laboratory. Our proprietary robotic technology, Robotic Magnetic Navigation ("RMN"), fundamentally transforms endovascular interventions using precise computer-controlled magnetic fields to directly control the tip of flexible interventional catheters or devices. Direct control of the tip of an interventional device, in contrast to all manual hand-held devices that are controlled from their handle, can improve the precision, stability, reach and safety of these devices during procedures.

Our primary products include the *Genesis RMN* System, the *Odyssey* Solution, and other related devices. Through our strategic relationships with fluoroscopy system manufacturers, providers of catheters and electrophysiology mapping systems, and other parties, we offer our customers x-ray systems and other accessory devices.

The *Genesis RMN* System is designed to enable physicians to complete more complex interventional procedures by providing image-guided delivery of catheters through the blood vessels and chambers of the heart to treatment sites. This is achieved using externally applied magnetic fields that govern the motion of the working tip of the catheter, resulting in improved navigation, efficient procedures, and reduced x-ray exposure.

The *Odyssey* Solution consolidates lab information onto one large integrated display, enabling physicians to view and control all the key information in the operating room. This is designed to improve lab layout and procedure efficiency. The system also features a remote viewing and recording capability called *Odyssey* Cinema, which is an innovative solution that delivers synchronized content for optimized workflow, advanced care, and improved productivity. This tool includes an archiving capability that allows clinicians to store and replay entire procedures or segments of procedures. This information can be accessed from locations throughout the hospital local area network and over the global *Odyssey* Network providing physicians with a tool for clinical collaboration, remote consultation, and training.

We have arrangements with fluoroscopy system manufacturers to provide such systems in a bundled purchase offer for hospitals establishing robotic interventional operating rooms. These are single-plane, full-power x-ray systems and include the c-arm and powered table. The combination of RMN Systems with our partnered x-ray systems reduces the cost of acquisition, the ongoing cost of ownership, and the complexity of installation of a robotic electrophysiology practice.

This Report describes the process and results of Stereotaxis' Conflict Minerals program. Stereotaxis performed a Reasonable Country of Origin Inquiry (RCOI) to determine the source of necessary conflict minerals in our products, and in accordance with the Rule then undertook due diligence measures on the source and chain of custody of those necessary conflict minerals. The purpose of this due diligence was to determine if any of the necessary conflict minerals originated from the Democratic Republic of the Congo ("DRC") or an adjoining country (collectively defined as the "Covered Countries") and may not have come from recycled or scrap sources, to determine whether such products are "DRC conflict free."

Consistent with the provisions of the Rule, this Conflict Minerals Report has not been audited by an independent private sector auditor.

## **Design of Conflict Minerals Program and Due Diligence Process**

Stereotaxis has a Conflict Minerals program designed to be in conformance with the Organisation for Economic Co-operation and Development Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, 3<sup>rd</sup> Edition, including the Supplement on Tin, Tantalum and Tungsten, and the Supplement on Gold (collectively, the "OECD Guidance"). In the context of the OECD Guidance Stereotaxis is a "downstream company" in the conflict minerals supply chain. Summarized below are the five due diligence steps taken which align with the OECD Guidance five-step framework:

- 1. Maintained a strong company management system:
  - a) We have a Conflict Minerals Policy. It can be found on our website at http://www.stereotaxis.com/legal/.
  - b) We have an internal cross-functional Conflict Minerals team that oversees the implementation of our Conflict Minerals program. The status of our Conflict Minerals program is reviewed with senior management. We maintain records relating to our Conflict Minerals program.
  - c) We have a system of controls and transparency over our supply chain using the industry-standard Conflict Minerals Reporting Template ("CMRT"), which is a supply chain survey designed to identify the maturity of our suppliers' conflict minerals programs and identify the smelters and refiners that process the necessary conflict minerals contained in their products.
  - d) We have informed our suppliers of our company policy, and our commitment to be DRC conflict free. Where suppliers are unaware of conflict minerals regulations or have an immature process, we seek to educate them.
  - e) We have a company-level grievance mechanism whereby anyone can confidentially call or email a concern or report a violation of a policy. Refer to the Stereotaxis Code of Conduct Handbook in the Ethics and Commitment section of our website at <a href="http://www.stereotaxis.com/legal/">http://www.stereotaxis.com/legal/</a>.
- 2. Identified and assessed risks in the conflict minerals supply chain:
  - a) We identified conflict minerals risks in our supply chain:
    - We identified all direct suppliers that supply us with products that might contain Conflict Minerals intentionally added and necessary for the functionality of those products.
    - We conducted a Reasonable Country of Origin Inquiry (RCOI) with relevant suppliers of parts and materials used in products manufactured by Stereotaxis.
    - We requested our direct suppliers provide us with a completed industry-standard CMRT. The CMRT includes a section identifying evidence of an active corporate conflict minerals program/policy by each respective supplier, as well as a list of Smelters or Refiners in the supplier's conflict mineral supply chain.
    - We followed up with unresponsive suppliers, making multiple attempts to obtain information.
    - We reviewed CMRT's and any other documentation provided by our suppliers for completeness and reasonableness. We categorized suppliers according to the responses provided.
  - b) We assessed risks of adverse impacts by actively working to discontinue sourcing products from upstream suppliers when we identified a reasonable risk that they are sourcing from, or linked to any party committing serious abuses, consistent with Annex II of the OECD Guidance.
- 3. Designed and implemented a strategy to respond to identified risks:
  - a) We identified the results of our RCOI and identified high risk suppliers to senior management.
  - b) We have a risk management plan that includes seeking to reduce or eliminate high risk suppliers.
  - c) We have an ongoing risk reduction and management program, which includes a goal that all future product designs are DRC conflict free, periodically reviewing and updating the conflict minerals status of our existing products and striving over time to reduce or eliminate non-conflict-free minerals from the supply chain.
  - d) We monitored, and will continue to monitor changes in supplier conflict minerals status, as circumstances change with suppliers and as conflict minerals status ripples through the supply chain to end item manufacturers such as Stereotaxis.

- 4. Carried out independent third-party audit of supply chain due diligence at identified points in the supply chain:
  - a) We engage a third-party which has a comprehensive Verification, Outreach and Due Diligence Process which provides us with detailed information and audit results related to the smelters or refiners in our supply chain.
- 5. Reported on supply chain due diligence:
  - a) We publicly communicated our Conflict Minerals Sourcing Policy on our company website at http://www.stereotaxis.com /legal/.
  - b) We publicly disclosed this Conflict Minerals Report on our company website at http://www.stereotaxis.com/legal/.
  - c) As allowed by the Rule, obtaining an independent private sector audit of this Report was not required.

The content of any website referred to in this Report is included for general information only and is not incorporated by reference in this Report.

## **Reasonable Country of Origin Inquiry Results**

Following the Conflict Minerals program described above, Stereotaxis identified 165 suppliers and original equipment manufacturers in our direct supply chain. We identified 128 of those suppliers as the ones who provide us with components, materials and assemblies where Conflict Minerals are present and necessary to functionality, or for which we were unsure whether the materials or components contained Conflict Minerals. We performed a RCOI with 114 suppliers and requested each of them to supply us with a CMRT. 61 of those suppliers provided us with a CMRT.

The CMRT's we received contained a large number of smelter or refiner names for the facilities these suppliers and their upstream suppliers use to process Conflict Minerals, as well as the specific Conflict Mineral(s) used in their products. After correction, review, and removal of duplicate or alternate names, and elimination of entities we determined were not actually smelters or refiners, and elimination of entities for which we were unable to determine if they were a relevant smelter or refiner, we identified 354 unique smelters.

Stereotaxis expects our suppliers to provide CMRTs that represent their good faith effort to document their supply chain. We do not audit our supplier's process for doing RCOI, due diligence, or correctly reporting smelters in their supply chain. Therefore, the Stereotaxis smelter list, which is derived from all our supplier's smelter lists, could contain inaccuracies as a result. As nearly all of our suppliers report their supply chain at a company, and not at the product level, they may be reporting to Stereotaxis smelters or refiners that are not in the supply chain for the actual products that Stereotaxis procures from them, leading to the potential for identifying smelters or refiners that are not actually in our supply chain for the products we procure.

Of the 354 identified smelters or refiners in our supply chain, our analysis leads us to believe that:

- 49 smelters are known to source, or there is reason to believe they may source, Conflict Minerals from the Covered Countries.
- 71 smelters have unknown countries of origin for their minerals, and additionally are not certified as conformant. Therefore, we cannot, at this time, determine whether or not any of those smelters might have sourced Conflict Minerals from the Covered Countries.

In accordance with the SEC final rules for Conflict Minerals sourced from Covered Countries, because some smelters in our supply chain were identified to be sourcing from Covered Countries, Stereotaxis is required to exercise, and has exercised, due diligence on the Conflict Minerals' source and chain of custody. Additionally, Stereotaxis is required to follow, and has followed, a nationally or internally recognized due diligence framework (specifically the OECD Guidance) and report the results thereof in a Conflict Minerals report.

## **Due Diligence Process Results**

Of the 49 smelters in our supply chain that are known to source Conflict Minerals from the Covered Countries, Stereotaxis' due diligence review indicates:

- 43 smelters have active certifications, or are in the process of renewing their certification as a conformant smelter by the Responsible Minerals Initiative (RMI) Responsible Minerals Assurance Process (RMAP). In addition, 6 of these 43 smelters also have London Bullion Market Association (LBMA) Responsible Gold Certifications.
- 2 Tin smelters are in the RMAP certification process, but not yet certified.
- 4 smelters do not have conflict-free certifications, and we have no knowledge that they are pursuing certification at this time.

Based upon responses received from our suppliers as part of RCOI, and our due diligence efforts, we have not been able to confirm that all smelters reported by our suppliers as being in our supply chain are DRC conflict-free.

Stereotaxis did not discontinue business with any supplier simply because the supplier sourced conflict minerals from the Covered Countries. We support conflict-free minerals trade in the DRC region.

## **Product Determination**

Based upon responses received from our suppliers as part of RCOI, we have not been able to confirm the identification of all smelters in our supply chain, nor confirm that all smelters reported by our suppliers as being in our supply chain are DRC conflict-free.

### **Facility and Mine Information**

The table below identifies the smelter or refiner facilities which, to the extent known as a result of our RCOI, may have processed the necessary conflict minerals contained in our products. A "Yes" entry in the rightmost column indicates the smelter or refiner has received a conformant smelter designation from an independent third-party audit program.

			G
Smelter or Refiner Name	RMI Smelter ID	Location	Certification
8853 S.p.A.	CID002763	Italy	
ABC Refinery Pty Ltd.	CID002920	Australia	
Abington Reldan Metals, LLC	CID002708	United States	Yes
Advanced Chemical Company	CID000015	United States	
African Gold Refinery (*)	CID003185	Uganda	
Agosi AG	CID000035	Germany	Yes
Aida Chemical Industries Co., Ltd.	CID000019	Japan	Yes
Al Etihad Gold Refinery DMCC	CID002560	United Arab Emirates	
Albino Mountinho Lda.	CID002760	Portugal	
Alexy Metals	CID003500	United States	
Almalyk Mining and Metallurgical Complex (AMMC)	CID000041	Uzbekistan	Yes
AngloGold Ashanti Corrego do Sitio Mineracao	CID000058	Brazil	Yes
Argor-Heraeus S.A.	CID000077	Switzerland	Yes
	ABC Refinery Pty Ltd. Abington Reldan Metals, LLC Advanced Chemical Company African Gold Refinery (*) Agosi AG Aida Chemical Industries Co., Ltd. Al Etihad Gold Refinery DMCC Albino Mountinho Lda. Alexy Metals Almalyk Mining and Metallurgical Complex (AMMC) AngloGold Ashanti Corrego do Sitio Mineracao	ABC Refinery Pty Ltd.CID002920Abington Reldan Metals, LLCCID002708Advanced Chemical CompanyCID000015African Gold Refinery (*)CID003185Agosi AGCID000035Aida Chemical Industries Co., Ltd.CID000019Al Etihad Gold Refinery DMCCCID002560Albino Mountinho Lda.CID002760Alexy MetalsCID003500Almalyk Mining and Metallurgical Complex (AMMC)CID000041AngloGold Ashanti Corrego do Sitio MineracaoCID000058	ABC Refinery Pty Ltd.CID002920AustraliaAbington Reldan Metals, LLCCID002708United StatesAdvanced Chemical CompanyCID00015United StatesAfrican Gold Refinery (*)CID003185UgandaAgosi AGCID000035GermanyAida Chemical Industries Co., Ltd.CID00019JapanAl Etihad Gold Refinery DMCCCID002560United Arab EmiratesAlbino Mountinho Lda.CID002760PortugalAlexy MetalsCID003500United StatesAlmalyk Mining and Metallurgical Complex (AMMC)CID000041UzbekistanAngloGold Ashanti Corrego do Sitio MineracaoCID00058Brazil

Metal	Smelter or Refiner Name	RMI Smelter ID	Smelter or Refiner Location	Conflict-Free Certification
Gold	Asahi Pretec Corp.	CID000082	Japan	Yes
Gold	Asahi Refining Canada Ltd.		Canada	Yes
Gold	Asahi Refining USA Inc.	CID000920	United States	Yes
Gold	Asaka Riken Co., Ltd.	CID000090	Japan	Yes
Gold	Atasay Kuyumculuk Sanayi Ve Ticaret A.S.	CID000103	Turkey	
Gold	Attero Recycling Pvt Ltd	CID004697	India	
Gold	AU Traders and Refiners	CID002850	South Africa	
Gold	Augmont Enterprises Private Limited	CID003461	India	
Gold	Aurubis AG	CID000113	Germany	Yes
Gold	Bangalore Refinery	CID002863	India	
Gold	Bangko Sentral ng Pilipinas (Central Bank of the Philippines)	CID000128	Philippines	Yes
Gold	Boliden AB	CID000157	Sweden	Yes
Gold	C. Hafner GmbH + Co. KG	CID000176	Germany	Yes
Gold	Caridad	CID000180	Mexico	
Gold	CCR Refinery - Glencore Canada Corporation	CID000185	Canada	Yes
Gold	Cendres + Metaux S.A.	CID000189	Switzerland	
Gold	CGR Metalloys Pvt Ltd.	CID003382	India	
Gold	Chimet S.p.A.	CID000233	Italy	Yes
Gold	Chugai Mining	CID000264	Japan	Yes
Gold	Coimpa Industrial LTDA	CID004010	Brazil	Yes
Gold	Daye Non-Ferrous Metals Mining Ltd.	CID000343	China	
Gold	Degussa Sonne / Mond Goldhandel GmbH	CID002867	Germany	
Gold	Dijllah Gold Refinery FZC	CID003348	United Arab Emirates	
Gold	Dongwu Gold Group	CID003663	China	
Gold	Dowa	CID000401	Japan	Yes
Gold	DSC (Do Sung Corporation)	CID000359	Korea, Republic of	Yes
Gold	Eco-System Recycling Co., Ltd. East Plant	CID000425	Japan	Yes
Gold	Eco-System Recycling Co., Ltd. North Plant	CID003424	Japan	Yes
Gold	Eco-System Recycling Co., Ltd. West Plant	CID003425	Japan	Yes
Gold	Emerald Jewel Industry India Limited (Unit 1)	CID003487	India	
Gold	Emerald Jewel Industry India Limited (Unit 2)	CID003488	India	
Gold	Emerald Jewel Industry India Limited (Unit 3)	CID003489	India	
Gold	Emerald Jewel Industry India Limited (Unit 4)	CID003490	India	
Gold	Emirates Gold DMCC	CID002561	United Arab Emirates	
Gold	Fidelity Printers and Refiners Ltd.	CID002515	Zimbabwe	
Gold	Fujairah Gold FZC	CID002584	United Arab Emirates	

M-4-1	Caraltan an De Gran Name	DMI Garaltan ID	Smelter or Refiner	Conflict-Free
Metal	Smelter or Refiner Name	RMI Smelter ID	Location	Certification
Gold	Geib Refining Corporation	CID002459	United States	
Gold	GG Refinery Ltd.	CID004506	Tanzania	
Gold	GGC Gujrat Gold Centre Pvt. Ltd.	CID002852	India	
Gold	Gold by Gold Colombia	CID003641	Colombia	Yes
Gold	Gold Coast Refinery	CID003186	Ghana	
Gold	Gold Refinery of Zijin Mining Group Co., Ltd.	CID002243	China	Yes
Gold	Great Wall Precious Metals Co., Ltd. of CBPM	CID001909	China	Yes
Gold	Guangdong Jinding Gold Limited	CID002312	China	
Gold	Guoda Safina High-Tech Environmental Refinery Co., Ltd.	CID000651	China	
Gold	Hangzhou Fuchunjiang Smelting Co., Ltd.	CID000671	China	
Gold	Heimerle + Meule GmbH	CID000694	Germany	Yes
Gold	Heraeus Germany GmbH Co. KG	CID000711	Germany	Yes
Gold	Heraeus Metals Hong Kong Ltd.	CID000707	China	Yes
Gold	Hunan Chenzhou Mining Co., Ltd.	CID000767	China	
Gold	Hunan Guiyang yinxing Nonferrous Smelting Co., Ltd.	CID000773	China	
Gold	HwaSeong CJ CO., LTD.	CID000778	Korea, Republic of	
Gold	Industrial Refining Company	CID002587	Belgium	
Gold	Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd.	CID000801	China	Yes
Gold	International Precious Metal Refiners	CID002562	United Arab Emirates	
Gold	Ishifuku Metal Industry Co., Ltd.	CID000807	Japan	Yes
Gold	Istanbul Gold Refinery	CID000814	Turkey	Yes
Gold	Italpreziosi	CID002765	Italy	Yes
Gold	JALAN & Company	CID002893	India	
Gold	Japan Mint	CID000823	Japan	Yes
Gold	Jiangxi Copper Co., Ltd.	CID000855	China	Yes
Gold	JSC Ekaterinburg Non-Ferrous Metal Processing Plant	CID000927	Russian Federation	
Gold	JSC Novosibirsk Refinery	CID000493	Russian Federation	
Gold	JSC Uralelectromed	CID000929	Russian Federation	
Gold	JX Nippon Mining & Metals Co., Ltd.	CID000937	Japan	Yes
Gold	K.A. Rasmussen	CID003497	Norway	
Gold	Kaloti Precious Metals	CID002563	United Arab Emirates	
Gold	Kazakhmys Smelting LLC	CID000956	Kazakhstan	
Gold	Kazzinc	CID000957	Kazakhstan	Yes
Gold	Kennecott Utah Copper LLC	CID000969	United States	Yes

Metal	Smelter or Refiner Name	RMI Smelter ID	Smelter or Refiner Location	Conflict-Free Certification
Gold	KGHM Polska Miedz Spolka Akcyjna	CID002511	Poland	Yes
Gold	Kojima Chemicals Co., Ltd.	CID000981	Japan	Yes
Gold	Korea Zinc Co., Ltd.	CID002605	Korea, Republic of	Yes
Gold	Kundan Care Products Ltd.	CID003463	India	
Gold	Kyrgyzaltyn JSC	CID001029	Kyrgyzstan	
Gold	Kyshtym Copper-Electrolytic Plant ZAO	CID002865	Russian Federation	
Gold	L'azurde Company For Jewelry	CID001032	Saudi Arabia	
Gold	Lingbao Gold Co., Ltd.	CID001056	China	
Gold	Lingbao Jinyuan Tonghui Refinery Co., Ltd.	CID001058	China	
Gold	L'Orfebre S.A.	CID002762	Andorra	Yes
Gold	LS-NIKKO Copper Inc.	CID001078	Korea, Republic of	Yes
Gold	LT Metal Ltd.	CID000689	Korea, Republic of	Yes
Gold	Luoyang Zijin Yinhui Gold Refinery Co., Ltd.	CID001093	China	
Gold	Marsam Metals	CID002606	Brazil	
Gold	Materion	CID001113	United States	Yes
Gold	Matsuda Sangyo Co., Ltd.	CID001119	Japan	Yes
Gold	MD Overseas	CID003548	India	
Gold	Metal Concentrators SA (Pty) Ltd.	CID003575	South Africa	Yes
Gold	Metallix Refining Inc.	CID003557	United States	
Gold	Metalor Technologies (Hong Kong) Ltd.	CID001149	China	Yes
Gold	Metalor Technologies (Singapore) Pte., Ltd.	CID001152	Singapore	Yes
Gold	Metalor Technologies (Suzhou) Ltd.	CID001147	China	Yes
Gold	Metalor Technologies S.A.	CID001153	Switzerland	Yes
Gold	Metalor USA Refining Corporation	CID001157	United States	Yes
Gold	Metalurgica Met-Mex Penoles S.A. De C.V.	CID001161	Mexico	Yes
Gold	Mitsubishi Materials Corporation	CID001188	Japan	Yes
Gold	Mitsui Mining and Smelting Co., Ltd.	CID001100	Japan	Yes
Gold	MKS PAMP SA	CID001352	Switzerland	Yes
Gold	MMTC-PAMP India Pvt., Ltd.	CID002509	India	Yes
Gold	Modeltech Sdn Bhd	CID002509 CID002857	Malaysia	105
Gold	Morris and Watson	CID002837 CID002282	New Zealand	
Gold	Moscow Special Alloys Processing Plant	CID002282 CID001204	Russian Federation	
				Var
Gold	Nadir Metal Rafineri San. Ve Tic. A.S.	CID001220	Turkey	Yes
Gold	Navoi Mining and Metallurgical Combinat	CID001236	Uzbekistan	Yes
Gold	NH Recytech Company	CID003189	Korea, Republic of	Yes
Gold	Nihon Material Co., Ltd.	CID001259	Japan	Yes

Metal	Smelter or Refiner Name	RMI Smelter ID	Smelter or Refiner Location	Conflict-Free Certification
Gold	Ogussa Osterreichische Gold- und Silber-Scheideanstalt GmbH	CID002779	Austria	Yes
Gold	Ohura Precious Metal Industry Co., Ltd.	CID001325	Japan	Yes
Gold	OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet)	CID001326	Russian Federation	
Gold	Pease & Curren	CID002872	United States	
Gold	Penglai Penggang Gold Industry Co., Ltd.	CID001362	China	
Gold	Planta Recuperadora de Metales SpA	CID002919	Chile	Yes
Gold	Prioksky Plant of Non-Ferrous Metals	CID001386	Russian Federation	
Gold	PT Aneka Tambang (Persero) Tbk	CID001397	Indonesia	Yes
Gold	PX Precinox S.A.	CID001498	Switzerland	Yes
Gold	QG Refining, LLC	CID003324	United States	
Gold	Rand Refinery (Pty) Ltd.	CID001512	South Africa	Yes
Gold	Refinery of Seemine Gold Co., Ltd.	CID000522	China	
Gold	REMONDIS PMR B.V.	CID002582	Netherlands	Yes
Gold	Royal Canadian Mint	CID001534	Canada	Yes
Gold	SAAMP	CID002761	France	
Gold	Sabin Metal Corp.	CID001546	United States	
Gold	Safimet S.p.A	CID002973	Italy	
Gold	SAFINA Å.S.	CID002290	Czech Republic	Yes
Gold	Sai Refinery	CID002853	India	
Gold	Sam Precious Metals	CID003666	United Arab Emirates	
Gold	Samduck Precious Metals	CID001555	Korea, Republic of	
Gold	SAMWON METALS Corp.	CID001562	Korea, Republic of	
Gold	SEMPSA Joyeria Plateria S.A.	CID001585	Spain	Yes
Gold	Shandong Gold Smelting Co., Ltd.	CID001916	China	Yes
Gold	Shandong Humon Smelting Co., Ltd.	CID002525	China	
Gold	Shandong Tiancheng Biological Gold Industrial Co., Ltd.	CID001619	China	
Gold	Shandong Zhaojin Gold & Silver Refinery Co., Ltd.	CID001622	China	Yes
Gold	Shenzhen CuiLu Gold Co., Ltd.	CID002750	China	
Gold	Shenzhen Zhonghenglong Real Industry Co., Ltd.	CID002527	China	
Gold	Shirpur Gold Refinery Ltd.	CID002588	India	
Gold	Sichuan Tianze Precious Metals Co., Ltd.	CID001736	China	Yes
Gold	Singway Technology Co., Ltd.	CID002516	Taiwan	
Gold	SOE Shyolkovsky Factory of Secondary Precious Metals	CID001756	Russian Federation	
Gold	Solar Applied Materials Technology Corp.	CID001761	Taiwan	Yes

Metal	Smelter or Refiner Name	RMI Smelter ID	Smelter or Refiner Location	Conflict-Free Certification
Gold	Sovereign Metals	CID003383	India	Certification
Gold	State Research Institute Center for Physical Sciences and Technology	CID003383 CID003153	Lithuania	
Gold	Sudan Gold Refinery	CID003133 CID002567	Sudan	
Gold	Sumitomo Metal Mining Co., Ltd.	CID002387 CID001798		Yes
Gold	Sumtomo Metal Mining Co., Ltd. SungEel HiMetal Co., Ltd.	CID001798 CID002918	Japan Korea, Republic of	Yes
Gold	5	CID002918 CID001810	Taiwan	res
Gold	Super Dragon Technology Co., Ltd.			Yes
Gold Gold	T.C.A S.p.A	CID002580	Italy	Yes
	Tanaka Kikinzoku Kogyo K.K.	CID001875	Japan	
Gold	Tokuriki Honten Co., Ltd.	CID001938	Japan	Yes
Gold	Tongling Nonferrous Metals Group Co., Ltd.	CID001947	China	37
Gold	TOO Tau-Ken-Altyn	CID002615	Kazakhstan	Yes
Gold	Torecom	CID001955	Korea, Republic of	Yes
Gold	Umicore Precious Metals Thailand	CID002314	Thailand	
Gold	Umicore S.A. Business Unit Precious Metals Refining	CID001980	Belgium	Yes
Gold	United Precious Metal Refining, Inc.	CID001993	United States	Yes
Gold	Valcambi S.A.	CID002003	Switzerland	Yes
Gold	WEEEREFINING	CID003615	France	Yes
Gold	Western Australian Mint (T/a The Perth Mint)	CID002030	Australia	Yes
Gold	WIELAND Edelmetalle GmbH	CID002778	Germany	Yes
Gold	Yamakin Co., Ltd.	CID002100	Japan	Yes
Gold	Yokohama Metal Co., Ltd.	CID002129	Japan	Yes
Gold	Yunnan Copper Industry Co., Ltd.	CID000197	China	
Gold	Zhongyuan Gold Smelter of Zhongjin Gold Corporation	CID002224	China	Yes
Tantalum	5D Production OU	CID003926	Estonia	
Tantalum	AMG Brasil	CID001076	Brazil	Yes
Tantalum	Changsha South Tantalum Niobium Co., Ltd.	CID000211	China	Yes
Tantalum	D Block Metals, LLC	CID002504	United States	Yes
Tantalum	F&X Electro-Materials Ltd.	CID000460	China	Yes
Tantalum	FIR Metals & Resource Ltd.	CID002505	China	Yes
Tantalum	Global Advanced Metals Aizu	CID002558	Japan	Yes
Tantalum	Global Advanced Metals Boyertown	CID002557	United States	Yes
Tantalum	Guangdong Rising Rare Metals-EO Materials Ltd.	CID000291	China	
Tantalum	Hengyang King Xing Lifeng New Materials Co., Ltd.	CID002492	China	Yes
Tantalum	Jiangxi Dinghai Tantalum & Niobium Co., Ltd.	CID002512	China	Yes
Tantalum	Jiangxi Tuohong New Raw Material	CID002842	China	Yes
Tantalum	JiuJiang JinXin Nonferrous Metals Co., Ltd.	CID000914	China	Yes

Metal	Smelter or Refiner Name	RMI Smelter ID	Smelter or Refiner Location	Conflict-Free Certification
Tantalum	Jiujiang Tanbre Co., Ltd.	CID000917	China	Yes
Tantalum	Jiujiang Zhongao Tantalum & Niobium Co., Ltd.	CID002506	China	Yes
Tantalum	KEMET de Mexico	CID002539	Mexico	Yes
Tantalum	Materion Newton Inc.	CID002548	United States	Yes
Tantalum	Metallurgical Products India Pvt., Ltd.	CID001163	India	Yes
Tantalum	Mineracao Taboca S.A.	CID001175	Brazil	Yes
Tantalum	Mitsui Mining and Smelting Co., Ltd.	CID001192	Japan	Yes
Tantalum	Molycorp Silmet A.S.	CID001200	Estonia	Yes
Tantalum	Ningxia Orient Tantalum Industry Co., Ltd.	CID001277	China	Yes
Tantalum	PowerX Ltd.	CID004054	Rwanda	Yes
Tantalum	QuantumClean	CID001508	United States	Yes
Tantalum	Resind Industria e Comercio Ltda.	CID002707	Brazil	Yes
Tantalum	RFH Yancheng Jinye New Material Technology Co., Ltd.	CID003583	China	Yes
Tantalum	Solikamsk Magnesium Works OAO	CID001769	Russian Federation	
Tantalum	Taki Chemical Co., Ltd.	CID001869	Japan	Yes
Tantalum	TANIOBIS Co., Ltd.	CID002544	Thailand	Yes
Tantalum	TANIOBIS GmbH	CID002545	Germany	Yes
Tantalum	TANIOBIS Japan Co., Ltd.	CID002549	Japan	Yes
Tantalum	TANIOBIS Smelting GmbH & Co. KG	CID002550	Germany	Yes
Tantalum	Telex Metals	CID001891	United States	Yes
Tantalum	Ulba Metallurgical Plant JSC	CID001969	Kazakhstan	Yes
Tantalum	XIMEI RESOURCES (GUANGDONG) LIMITED	CID000616	China	Yes
Tantalum	XinXing Haorong Electronic Material Co., Ltd.	CID002508	China	Yes
Tantalum	Yanling Jincheng Tantalum & Niobium Co., Ltd.	CID001522	China	Yes
Tin	Alpha	CID000292	United States	Yes
Tin	An Vinh Joint Stock Mineral Processing Company	CID002703	Viet Nam	
Tin	Aurubis Beerse	CID002773	Belgium	Yes
Tin	Aurubis Berango	CID002774	Spain	Yes
Tin	Chenzhou Yunxiang Mining and Metallurgy Co., Ltd.	CID000228	China	Yes
Tin	Chifeng Dajingzi Tin Industry Co., Ltd.	CID003190	China	Yes
Tin	China Tin Group Co., Ltd.	CID001070	China	Yes
Tin	CRM Fundicao De Metais E Comercio De Equipamentos Eletronicos Do Brasil Ltda	CID003486	Brazil	Yes
Tin	CRM Synergies	CID003524	Spain	Yes
Tin	CV Ayi Jaya	CID002570	Indonesia	Yes
Tin	CV Venus Inti Perkasa	CID002455	Indonesia	Yes
Tin	Dongguan CiEXPO Environmental Engineering Co., Ltd.	CID003356	China	

		RMI	Smelter or Refiner	Conflict-Free
Metal	Smelter or Refiner Name	Smelter ID	Location	Certification
Tin	Dowa	CID000402	Japan	Yes
Tin	DS Myanmar	CID003831	Myanmar	Yes
Tin	j 6 6j 1 j		Viet Nam	
Tin	EM Vinto	CID000438	Bolivia	Yes
Tin	Estanho de Rondonia S.A.	CID000448	Brazil	Yes
Tin	Fabrica Auricchio Industria e Comercio Ltda.	CID003582	Brazil	Yes
Tin	Fenix Metals	CID000468	Poland	Yes
Tin	Gejiu City Fuxiang Industry and Trade Co., Ltd.	CID003410	China	
Tin	Gejiu Kai Meng Industry and Trade LLC	CID000942	China	
Tin	Gejiu Non-Ferrous Metal Processing Co., Ltd.	CID000538	China	Yes
Tin	Gejiu Yunxin Nonferrous Electrolysis Co., Ltd.	CID001908	China	
Tin	Gejiu Zili Mining And Metallurgy Co., Ltd.	CID000555	China	
Tin	Guangdong Hanhe Non-Ferrous Metal Co., Ltd.	CID003116	China	Yes
Tin	HuiChang Hill Tin Industry Co., Ltd.	CID002844	China	Yes
Tin	Jiangxi New Nanshan Technology Ltd.	CID001231	China	Yes
Tin	Luna Smelter, Ltd.	CID003387	Rwanda	Yes
Tin	Ma'anshan Weitai Tin Co., Ltd.	CID003379	China	
Tin	Magnu's Minerais Metais e Ligas Ltda.	CID002468	Brazil	Yes
Tin	Malaysia Smelting Corporation (MSC)	CID001105	Malaysia	Yes
Tin	Malaysia Smelting Corporation Berhad (Port Klang)	CID004434	Malaysia	
Tin	Melt Metais e Ligas S.A.	CID002500	Brazil	
Tin	Metallic Resources, Inc.	CID001142	United States	Yes
Tin	Mineracao Taboca S.A.	CID001173	Brazil	Yes
Tin	Mining Minerals Resources SARL	CID004065	DRC- Congo (Kinshasa)	Yes
Tin	Minsur	CID001182	Peru	Yes
Tin	Mitsubishi Materials Corporation	CID001191	Japan	Yes
Tin	Modeltech Sdn Bhd	CID002858	Malaysia	
Tin	Nghe Tinh Non-Ferrous Metals Joint Stock Company	CID002573	Viet Nam	
Tin	Novosibirsk Tin Combine	CID001305	Russian Federation	
Tin	O.M. Manufacturing (Thailand) Co., Ltd.	CID001314	Thailand	Yes
Tin	O.M. Manufacturing Philippines, Inc.	CID002517	Philippines	Yes
Tin	Operaciones Metalurgicas S.A.	CID001337	Bolivia	Yes
Tin	Pongpipat Company Limited	CID003208	Myanmar	
Tin	Precious Minerals and Smelting Limited	CID003409	India	
Tin	PT Aries Kencana Sejahtera	CID000309	Indonesia	Yes
Tin	PT Artha Cipta Langgeng	CID001399	Indonesia	Yes

Metal	Smelter or Refiner Name	RMI Smelter ID	Smelter or Refiner Location	Conflict- Free Certification
Tin	PT ATD Makmur Mandiri Jaya	CID002503	Indonesia	Yes
Tin	PT Babel Inti Perkasa	CID001402	Indonesia	Yes
Tin	PT Babel Surya Alam Lestari	CID001406	Indonesia	Yes
Tin	PT Bangka Prima Tin	CID002776	Indonesia	Yes
Tin	PT Bangka Serumpun	CID003205	Indonesia	Yes
Tin	PT Bangka Tin Industry	CID001419	Indonesia	
Tin	PT Belitung Industri Sejahtera	CID001421	Indonesia	Yes
Tin	PT Bukit Timah	CID001428	Indonesia	Yes
Tin	PT Cipta Persada Mulia	CID002696	Indonesia	Yes
Tin	PT Menara Cipta Mulia	CID002835	Indonesia	Yes
Tin	PT Mitra Stania Prima	CID001453	Indonesia	Yes
Tin	PT Mitra Sukses Globalindo	CID003449	Indonesia	Yes
Tin	PT Panca Mega Persada	CID001457	Indonesia	
Tin	PT Premium Tin Indonesia	CID000313	Indonesia	Yes
Tin	PT Prima Timah Utama	CID001458	Indonesia	Yes
Tin	PT Putera Sarana Shakti (PT PSS)	CID003868	Indonesia	Yes
Tin	PT Rajawali Rimba Perkasa	CID003381	Indonesia	Yes
Tin	PT Rajehan Ariq	CID002593	Indonesia	Yes
Tin	PT Refined Bangka Tin	CID001460	Indonesia	Yes
Tin	PT Sariwiguna Binasentosa	CID001463	Indonesia	Yes
Tin	PT Stanindo Inti Perkasa	CID001468	Indonesia	Yes
Tin	PT Sukses Inti Makmur	CID002816	Indonesia	Yes
Tin	PT Timah Tbk Kundur	CID001477	Indonesia	Yes
Tin	PT Timah Tbk Mentok	CID001482	Indonesia	Yes
Tin	PT Tinindo Inter Nusa	CID001490	Indonesia	Yes
Tin	PT Tirus Putra Mandiri	CID002478	Indonesia	
Tin	PT Tommy Utama	CID001493	Indonesia	Yes
Tin	Resind Industria e Comercio Ltda.	CID002706	Brazil	Yes
Tin	Rui Da Hung	CID001539	Taiwan	Yes
Tin	Super Ligas	CID002756	Brazil	Yes
Tin	Takehara PVD Materials Plant / PVD Materials Division of MITSUI MINING & SMELTING CO., LTD.	CID004403	Taiwan	
Tin	Thaisarco	CID001898		Yes
Tin	Tin Smelting Branch of Yunnan Tin Co., Ltd.	CID002180	China	Yes
Tin	Tin Technology & Refining	CID003325	United States	Yes
Tin	Tuyen Quang Non-Ferrous Metals Joint Stock Company	CID002574	Viet Nam	
Tin	VQB Mineral and Trading Group JSC	CID002015	Viet Nam	
Tin	White Solder Metalurgia e Mineracao Ltda.	CID002036	Brazil	Yes

Metal	Smelter or Refiner Name	RMI Smelter ID	Smelter or Refiner Location	Conflict-Free Certification
Tin	Yunnan Chengfeng Non-ferrous Metals Co., Ltd.	CID002158	China	Yes
Tin	Yunnan Yunfan Non-ferrous Metals Co., Ltd.	CID003397	China	Yes
Tungsten	A.L.M.T. TUNGSTEN Corp.	CID000004	Japan	Yes
Tungsten	ACL Metais Eireli	CID002833	Brazil	
Tungsten	Albasteel Industria e Comercio de Ligas Para Fundicao Ltd.	CID003427	Brazil	
Tungsten	Artek LLC	CID003553	Russian Federation	
Tungsten	Asia Tungsten Products Vietnam Ltd.	CID002502	Viet Nam	Yes
Tungsten	China Molybdenum Co., Ltd.	CID002641	China	Yes
Tungsten	Chongyi Zhangyuan Tungsten Co., Ltd.	CID000258	China	Yes
Tungsten	CNMC (Guangxi) PGMA Co., Ltd.	CID000281	China	
Tungsten	Cronimet Brasil Ltda	CID003468	Brazil	Yes
Tungsten	DONGKUK INDUSTRIES CO., LTD.	CID004060	Korea, Republic of	
Tungsten	Fujian Xinlu Tungsten Co., Ltd.	CID003609	China	Yes
Tungsten	Ganzhou Jiangwu Ferrotungsten Co., Ltd.	CID002315	China	Yes
Tungsten	Ganzhou Seadragon W & Mo Co., Ltd.	CID002494	China	Yes
Tungsten	Global Tungsten & Powders LLC	CID000568	United States	Yes
Tungsten	Guangdong Xianglu Tungsten Co., Ltd.	CID000218	China	Yes
Tungsten	H.C. Starck Tungsten GmbH	CID002541	Germany	Yes
Tungsten	HANNAE FOR T Co., Ltd.	CID003978	Korea, Republic of	
Tungsten	Hubei Green Tungsten Co., Ltd.	CID003417	China	Yes
Tungsten	Hunan Chenzhou Mining Co., Ltd.	CID000766	China	Yes
Tungsten	Hunan Jintai New Material Co., Ltd.	CID000769	China	
Tungsten	Hunan Shizhuyuan Nonferrous Metals Co., Ltd. Chenzhou Tungsten Products Branch	CID002513	China	Yes
Tungsten	Hydrometallurg, JSC	CID002649	Russian Federation	
Tungsten	Japan New Metals Co., Ltd.	CID000825	Japan	Yes
Tungsten	Jiangwu H.C. Starck Tungsten Products Co., Ltd.	CID002551	China	Yes
Tungsten	Jiangxi Gan Bei Tungsten Co., Ltd.	CID002321	China	Yes
Tungsten	Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd.	CID002313	China	
Tungsten	Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd.	CID002318	China	Yes
Tungsten	Jiangxi Xinsheng Tungsten Industry Co., Ltd.	CID002317	China	Yes
Tungsten	Jiangxi Yaosheng Tungsten Co., Ltd.	CID002316	China	Yes
Tungsten	JSC "Kirovgrad Hard Alloys Plant"	CID003408	<b>Russian Federation</b>	
Tungsten	Kenee Mining Corporation Vietnam	CID004619	Viet Nam	
Tungsten	Kennametal Fallon	CID000966	United States	Yes

Metal	Smelter or Refiner Name	RMI Smelter ID	Smelter or Refiner Location	Conflict-Free Certification
Tungsten	Kennametal Huntsville	CID000105	United States	Yes
Tungsten	Lianyou Metals Co., Ltd.	CID003407	Taiwan	Yes
Tungsten	Lianyou Resources Co., Ltd.	CID004397	Taiwan	Yes
Tungsten	LLC Vostok	CID003643	Russian Federation	
Tungsten	Malipo Haiyu Tungsten Co., Ltd.	CID002319	China	Yes
Tungsten	Masan High-Tech Materials	CID002543	Viet Nam	Yes
Tungsten	Moliren Ltd.	CID002845	Russian Federation	
Tungsten	Nam Viet Cromit Joint Stock Company	CID004034	Viet Nam	
Tungsten	Niagara Refining LLC	CID002589	United States	Yes
Tungsten	NPP Tyazhmetprom LLC	CID003416	Russian Federation	
Tungsten	OOO "Technolom" 1	CID003614	Russian Federation	
Tungsten	OOO "Technolom" 2	CID003612	Russian Federation	
Tungsten	Philippine Chuangxin Industrial Co., Inc.	CID002827	Philippines	Yes
Tungsten	Shinwon Tungsten (Fujian Shanghang) Co., Ltd.	CID004430	China	Yes
Tungsten	Sumitomo Metal Mining Co., Ltd.	CID001801	Japan	
Tungsten	TANIOBIS Smelting GmbH & Co. KG	CID002542	Germany	Yes
Tungsten	Tungsten Vietnam Joint Stock Company	CID003993	Viet Nam	Yes
Tungsten	Unecha Refractory Metals Plant	CID002724	Russian Federation	
Tungsten	Wolfram Bergbau und Hutten AG	CID002044	Austria	Yes
Tungsten	Xiamen Tungsten (H.C.) Co., Ltd.	CID002320	China	Yes
Tungsten	Xiamen Tungsten Co., Ltd.	CID002082	China	Yes
Tungsten	YUDU ANSHENG TUNGSTEN CO., LTD.	CID003662	China	

(\*) Multiple direct suppliers reported the presence of this entity (African Gold Refinery, CID003185) ("AGR") that was sanctioned on March 17, 2022 by the United States Department of Treasury, Office of Foreign Assets Control. Because of the over-reporting nature of the industry CMRT information collection process, including near-universal reporting at the company level as opposed to at the product level, and the nature of the supply chains and goods, we are unable to confirm this, or any, smelter or refiner is or was active in our supply chain. Stereotaxis has also not identified any direct transactions or contractual relationships with AGR. Stereotaxis is in the process of contacting the applicable suppliers regarding next steps, remediation and/or disengagement procedures from AGR. To date, Stereotaxis has not received confirmation from any of its suppliers that they utilized AGR in the products provided to Stereotaxis, and Stereotaxis will continue to work with its supply base to ensure compliance with all applicable sanction laws.

## Smelters or Refiners That Sourced from the Covered Countries

The table below lists the smelter or refiner facilities which, to the extent known, processed the necessary conflict minerals in our products or products produced by companies in our supply chain that were sourced from the Covered Countries, and hence were subject to due diligence.

The Conflict-Free Certification designations in the table are:

- LBMA: The smelter has obtained a Responsible Gold Certification from the London Bullion Market Association (LBMA).
- **RMAP**: The smelter has an active certification or is in the process of renewing their certification as a conformant smelter in the Responsible Minerals Assurance Process (RMAP) from the Responsible Minerals Initiative (RMI).
- **RMAP-Active**: The smelter is actively moving through the RMAP certification process.

Metal	Smelter or Refiner Name	RMI Smelter ID	Smelter or Refiner Location	Conflict-Free Certifications
Gold	Almalyk Mining and Metallurgical Complex (AMMC)	CID000041	Uzbekistan	LBMA, RMAP
Gold	Asaka Riken Co., Ltd.	CID000090	Japan	RMAP
Gold	CCR Refinery - Glencore Canada Corporation	CID000185	Canada	LBMA, RMAP
Gold	Jiangxi Copper Co., Ltd.	CID000855	China	LBMA, RMAP
Gold	Mitsubishi Materials Corporation	CID001188	Japan	LBMA, RMAP
Gold	Nihon Material Co., Ltd.	CID001259	Japan	LBMA, RMAP
Gold	Prioksky Plant of Non-Ferrous Metals	CID001386	Russian Federation	
Gold	Rand Refinery (Pty) Ltd.	CID001512	South Africa	LBMA, RMAP
Gold	Samduck Precious Metals	CID001555	Korea, Republic of	
Tantalum	AMG Brasil	CID001076	Brazil	RMAP
Tantalum	Changsha South Tantalum Niobium Co., Ltd.	CID000211	China	RMAP
Tantalum	F&X Electro-Materials Ltd.	CID000460	China	RMAP
Tantalum	Global Advanced Metals Aizu	CID002558	Japan	RMAP
Tantalum	Global Advanced Metals Boyertown	CID002557	United States	RMAP
Tantalum	Guangdong Rising Rare Metals-EO Materials Ltd.	CID000291	China	RMAP - Active
Tantalum	JiuJiang JinXin Nonferrous Metals Co., Ltd.	CID000914	China	RMAP
Tantalum	Jiujiang Tanbre Co., Ltd.	CID000917	China	RMAP
Tantalum	Jiujiang Zhongao Tantalum & Niobium Co., Ltd.	CID002506	China	RMAP
Tantalum	KEMET de Mexico	CID002539	Mexico	RMAP
Tantalum	Materion Newton Inc.	CID002548	United States	RMAP
Tantalum	Ningxia Orient Tantalum Industry Co., Ltd.	CID001277	China	RMAP
Tantalum	Taki Chemical Co., Ltd.	CID001869	Japan	RMAP

Metal	Smelter or Refiner Name	RMI Smelter ID	Smelter or Refiner Location	Conflict-Free Certifications
Tantalum	TANIOBIS Co., Ltd.	CID002544	Thailand	RMAP
Tantalum	TANIOBIS GmbH	CID002545	Germany	RMAP
Tantalum	TANIOBIS Japan Co., Ltd.	CID002549	Japan	RMAP
Tantalum	TANIOBIS Smelting GmbH & Co. KG	CID002550	Germany	RMAP
Tantalum	Ulba Metallurgical Plant JSC	CID001969	Kazakhstan	RMAP
Tantalum	XIMEI RESOURCES (GUANGDONG) LIMITED	CID000616	China	RMAP
Tin	Aurubis Beerse	CID002773	Belgium	RMAP
Tin	CV Venus Inti Perkasa	CID002455	Indonesia	RMAP
Tin	EM Vinto	CID000438	Bolivia	RMAP
Tin	Magnu's Minerais Metais e Ligas Ltda.	CID002468	Brazil	RMAP
Tin	Malaysia Smelting Corporation (MSC)	CID001105	Malaysia	RMAP
Tin	Operaciones Metalurgicas S.A.	CID001337	Bolivia	RMAP
Tin	PT Bangka Tin Industry	CID001419	Indonesia	RMAP - Active
Tin	PT Bukit Timah	CID001428	Indonesia	RMAP
Tin	PT Stanindo Inti Perkasa	CID001468	Indonesia	RMAP
Tin	PT Tinindo Inter Nusa	CID001490	Indonesia	RMAP
Tin	Thaisarco	CID001898	Thailand	RMAP
Tin	VQB Mineral and Trading Group JSC	CID002015	Viet Nam	
Tungsten	A.L.M.T. TUNGSTEN Corp.	CID000004	Japan	RMAP
Tungsten	Asia Tungsten Products Vietnam Ltd.	CID002502	Viet Nam	RMAP
Tungsten	Chongyi Zhangyuan Tungsten Co., Ltd.	CID000258	China	RMAP
Tungsten	Ganzhou Jiangwu Ferrotungsten Co., Ltd.	CID002315	China	RMAP
Tungsten	H.C. Starck Tungsten GmbH	CID002541	Germany	RMAP
Tungsten	Hydrometallurg, JSC	CID002649	Russian Federation	
Tungsten	Jiangxi Xinsheng Tungsten Industry Co., Ltd.	CID002317	China	RMAP
Tungsten	Xiamen Tungsten (H.C.) Co., Ltd.	CID002320	China	RMAP
Tungsten	Xiamen Tungsten Co., Ltd.	CID002082	China	RMAP

## **Country of Origin**

The countries of origin of the Conflict Minerals processed by the smelters and refiners that may be in our supply chain include the following countries:

### Gold:

Argentina; Armenia; Australia; Australi, Belgium; Bermuda; Bolivia; Brazil; Burundi; Cambodia; Canada; Chile; China; Colombia; Congo (Brazzaville); Czech Republic; Djibouti; DRC- Congo (Kinshasa); Ecuador; Egypt; Estonia; Ethiopia; Finland; France; Germany; Ghana; Guinea; Guyana; Hong Kong; Hungary; India; Indonesia; Ireland; Israel; Italy; Ivory Coast; Japan; Jersey; Kazakhstan; Korea, Republic of; Kyrgyzstan; Laos; Luxembourg; Madagascar; Malaysia; Mali; Mexico; Mongolia; Mozambique; Myanmar; Namibia; Netherlands; New Zealand; Niger; Nigeria; Papua New Guinea; Peru; Philippines; Poland; Portugal; Russian Federation; Rwanda; Saudi Arabia; Sierra Leone; Singapore; Slovakia; South Africa; Spain; Suriname; Sweden; Switzerland; Taiwan; Tajikistan; Tanzania; Thailand; Turkey; United Arab Emirates; United Kingdom; United States; Uzbekistan; Viet Nam; Zambia; Zimbabwe.

## Tantalum:

Angola; Argentina; Australia; Australia; Belarus; Belgium; Bolivia; Brazil; Burundi; Cambodia; Canada; Central African Republic; Chile; China; Colombia; Congo (Brazzaville); Czech Republic; Djibouti; DRC- Congo (Kinshasa); Ecuador; Egypt; Estonia; Ethiopia; France; Germany; Ghana; Guinea; Guyana; Hungary; India; Indonesia; Ireland; Israel; Italy; Ivory Coast; Japan; Jersey; Kazakhstan; Kenya; Korea, Republic of; Laos; Luxembourg; Madagascar; Malaysia; Mali; Mexico; Mongolia; Mozambique; Myanmar; Namibia; Netherlands; Niger; Nigeria; Peru; Philippines; Poland; Portugal; Russian Federation; Rwanda; Sierra Leone; Singapore; Slovakia; South Africa; South Sudan; Spain; Suriname; Switzerland; Taiwan; Tanzania; Thailand; Uganda; United Kingdom; United States; Viet Nam; Zambia; Zimbabwe.

### <u>Tin:</u>

Angola; Argentina; Armenia; Australia; Australi; Belgium; Bolivia; Brazil; Burundi; Cambodia; Canada; Central African Republic; Chile; China; Colombia; Congo (Brazzaville); Czech Republic; Djibouti; DRC- Congo (Kinshasa); Ecuador; Egypt; Estonia; Ethiopia; France; Germany; Guyana; Hong Kong; Hungary; India; Indonesia; Ireland; Israel; Ivory Coast; Japan; Jersey; Kazakhstan; Kenya; Korea, Republic of; Kyrgyzstan; Laos; Luxembourg; Madagascar; Malaysia; Mexico; Mongolia; Morocco; Mozambique; Myanmar; Namibia; Netherlands; Nigeria; Papua New Guinea; Peru; Philippines; Poland; Portugal; Russian Federation; Rwanda; Sierra Leone; Singapore; Slovakia; South Africa; South Sudan; Spain; Suriname; Sweden; Switzerland; Taiwan; Tanzania; Thailand; Turkey; Uganda; United Kingdom; United States; Uzbekistan; Viet Nam; Zambia; Zimbabwe.

#### Tungsten:

Angola; Argentina; Australia; Australia; Belgium; Bolivia; Brazil; Burundi; Cambodia; Canada; Central African Republic; Chile; China; Colombia; Congo (Brazzaville); Czech Republic; Djibouti; DRC- Congo (Kinshasa); Ecuador; Egypt; Estonia; Ethiopia; France; Germany; Guinea; Guyana; Hungary; India; Indonesia; Ireland; Israel; Ivory Coast; Japan; Kazakhstan; Korea, Republic of; Laos; Luxembourg; Madagascar; Malaysia; Mexico; Mongolia; Mozambique; Myanmar; Namibia; Netherlands; Niger; Nigeria; Papua New Guinea; Peru; Philippines; Portugal; Russian Federation; Rwanda; Sierra Leone; Singapore; Slovakia; South Africa; South Sudan; Spain; Suriname; Switzerland; Taiwan; Tanzania; Thailand; Uganda; United Kingdom; United States; Viet Nam; Zambia; Zimbabwe.

## Steps to be Taken to Mitigate Risk

Stereotaxis plans to continue to work with our suppliers as deemed appropriate to help them understand and satisfy our conflict-free and reporting expectations. We will continue to monitor the progress of suppliers which report to be sourcing from non-conformant smelters believed to source conflict minerals from the Covered Countries, work to improve supplier response rates, and continue to evolve our internal due diligence determination process.

## **Additional Risk Factors**

Our conflict minerals disclosure is made in good faith and based on our best efforts. However, other factors could have led to errors in the disclosure. They include, but are not limited to gaps in supplier data, suppliers providing data at the company level as opposed to at the product level, error in supplier knowledge, gaps in smelter auditing processes, errors in public data, errors in language translation, and delays in reporting data through the lengthy supply chain that exists between the upstream smelters or refiners and Stereotaxis as an end-item manufacturer. This Specialized Disclosure Report on Form SD contains forward looking statements within the meaning of Section 27A of the Securities Act of 1933 and Section 21E of the Securities Exchange Act of 1934. These statements include any plans or intentions to improve the number and quality of supplier response rates and steps we intend to take to mitigate risk in our supply chain. These forward-looking statements involve risks and uncertainties that could cause actual results to differ materially from those projected. In particular, Stereotaxis' actions and the results of those actions may be affected by: (a) changes in global regulations related to the extraction of and disclosure obligations related to Conflict Minerals; (b) the ability of our direct suppliers to provide accurate information in response to our requests; (c) the availability of alternate sources of materials necessary to the functionality or production of our products on commercially reasonable terms or at all; (d) the ability of certified smelters to meet demand for raw materials; and (e) limits on our ability to unilaterally influence supplier behavior. These forward-looking statements are made as of the date hereof, and Stereotaxis assumes no obligation to update such statements.